

United States Department of Agriculture  
Animal and Plant Health Inspection Service  
4700 River Road  
Riverdale, MD 20737

**Permit to Move Live Plant Pests, Noxious Weeds, and Soil**  
Interstate Movement  
**Regulated by 7 CFR 330**

This permit was generated electronically via the ePermits system

<b>PERMITTEE NAME:</b>	Mr. Roger Phillips	<b>PERMIT NUMBER:</b>	P526P-17-04603
<b>ORGANIZATION:</b>	Carolina Biological Supply Company	<b>APPLICATION NUMBER:</b>	P526-171114-026
<b>ADDRESS:</b>	2700 York Road Burlington, NC 27215	<b>FACILITY NUMBER:</b>	N/A
<b>MAILING ADDRESS:</b>	2700 York Road Burlington, NC 27215	<b>HAND CARRY:</b>	No
<b>PHONE:</b>	336-538-6203	<b>DATE ISSUED:</b>	12/19/2017
<b>FAX:</b>		<b>EXPIRES:</b>	<b>12/19/2020</b>
<b>DESTINATION:</b>	CA		
<b>RELEASE:</b>	No		

Under the conditions specified, this permit authorizes the following:

**Article Category:** Invertebrate Pests - Insects

<u>Regulated Article</u>	<u>Life Stage(s)</u>	<u>Intended Use</u>	<u>Shipment Origins</u>	<u>Originally Collected</u>	<u>Culture Designation</u>
Pogonomyrmex occidentalis	Adult	Commercial Biological Supply	NC	Originally Collected from Within the Continental U.S.	

**PERMIT GUIDANCE**

This permit does not fulfill the requirements of other federal or state regulatory authorities. As appropriate, please contact the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, the U.S. Food and Drug Administration, the Centers for Disease Control and Prevention, the APHIS Veterinary Services unit, or your State's Department of Agriculture to ensure proper permitting.

Receipt or use of foreign regulated organisms or samples from countries under sanctions requires specific permission from the U.S. Department of Treasury (see <http://www.ustreas.gov/offices/enforcement/ofac/sanctions> for current country/regional listings) for current country listings.

This permit does not authorize importation, interstate movement, possession, and/or use of strains of genetically engineered regulated organisms (created by the use of recombinant DNA technology). If an animal pathogen is identified in your shipment, to ensure appropriate safeguarding, please refer to

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THIS PERMIT HAS BEEN APPROVED ELECTRONICALLY BY THE FOLLOWING  
PPQ HEADQUARTER OFFICIAL VIA EPERMITS.

*Monica Jones O Neil*  
**Monica Jones O Neil**

DATE

**12/19/2017**

WARNING: Any alteration, forgery or unauthorized use of this Federal Form is subject to civil penalties of up to \$250,000 (7 U.S.C.s 7734(b)) or punishable by a fine of not more than \$10,000, or imprisonment of not more than 5 years, or both (18 U.S.C.s 1001)

[http://www.aphis.usda.gov/import\\_export/animals/animal\\_import/animal\\_imports\\_anproducts.shtml](http://www.aphis.usda.gov/import_export/animals/animal_import/animal_imports_anproducts.shtml)

If you are considering renewal of this permit, an application should be submitted at least 90 days prior to the expiration date of this permit to ensure continued coverage. Permits requiring containment facilities may take a longer period of time to process.

### PERMIT CONDITIONS

This permit is issued to Mr. Roger Phillips, Carolina Biological Supply Co., for the interstate movement of the regulated ANT listed above from Burlington, NC to destinations in California for Commercial Biological Supply. All these conditions **MUST** be followed.

1. The permit holder must:
  - (a) comply with all requirements and permit conditions,
  - (b) maintain a valid permit so long as the regulated organisms are alive,
  - (c) not assign or transfer this permit to other persons,
  - (d) be a resident of the United States
  - (e) safeguard and dispose of the regulated organisms during the term of this permit,
  - (f) take all necessary precautions to prevent the unauthorized release of regulated organisms. In the event of an unauthorized release, the permit holder must notify the permit unit,
  - (g) adequately mitigate environmental impacts resulting from unauthorized release of regulated organisms,
  - (h) contain any/all organisms not authorized under this permit,
  - (i) notify the permit unit of the receipt of unauthorized organisms,
  - (j) notify the permit unit if facilities are destroyed or decommissioned for any reason,
  - (k) maintain an official permanent work assignment at the address on this permit,
  - (l) notify the permit unit in advance of any change in the permit holder's work assignment,
  - (m) destroy all regulated organisms prior to departure from the organization unless other arrangements are confirmed by the permit unit prior to parting, and
  - (n) notify the permit unit of the destruction of regulated organisms.

Notifications to the permit unit must be made via 866-524-5421 or [pest.permits@aphis.usda.gov](mailto:pest.permits@aphis.usda.gov) within one business day of the event triggering a notification.

2. Without prior notice and during reasonable hours, authorized PPQ and/or State regulatory officials shall be allowed to inspect the conditions associated with the regulated organisms authorized under this permit.
3. There is to be no further distribution of these organisms without prior approval from State and Federal regulatory officials. A valid permit is required for movement of the regulated materials.


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4. Any alteration, forgery or unauthorized use of this permit and associated Federal Forms are subject to civil penalties of up to \$250,000 (7 U.S.C.s 7734(b) or imprisonment of not more than 5 years, or both (18 U.S.C.s 1001).
5. This permit does not authorize importation of any organisms.
6. This permit does not authorize receipt of any organisms at the above address/location.
7. This permit does not authorize environmental release of any regulated organisms.
8. **DROP SHIPPING IS NOT AUTHORIZED UNDER THIS PERMIT**  
This permit does not authorize drop shipping. Drop shipping encompasses any shipments of regulated organisms made to customers from other producers or suppliers. These shipments do not originate from your address. These shipments may include filling orders on your behalf. Each shipper must have a permit specifically issued to them authorizing the interstate movement of the specific regulated organism to each destination. As a permit holder, your permit does not cover the activities of other shippers. Each permit holder remains responsible for determining if another entity possesses a valid 526 Plant Pest Permit for each regulated organism prior to requesting any regulated organisms be shipped on their behalf.
9. **RECORD KEEPING**  
The permit holder must keep records of all shipments sent under this permit for a period of three (3) years after the shipping date. All records retained under this permit must be made available to the USDA upon request. Failure to keep, or make available, records required under this permit is cause for this permit to be cancelled and fines assessed. Failure to keep, or make available, records required under this permit is cause for future permits to be denied.
10. All regulated organisms sent under this permit must be maintained in escape-proof cages (e.g. aquaria, terraria, etc.) at all times within the (inspected) production facility. This facility may be inspected at any time by USDA APHIS PPQ and/or State personnel. This permit does not authorize outdoor rearing of any regulated organisms.
11. These regulated organism are to be shipped in sturdy escape -proof containers.
12. The permittee is to ensure that shipments are not contaminated with any additional plant pests, or Agricultural Quarantine-associated plant materials or soil.
13. This permit is valid for only one destination state identified under "destination" in this permit and is valid only for the species listed.
14. This permit authorizes the interstate movement of adult worker and soldier ANTS. This permit does not authorize the interstate movement of Queens or immature life-stages.

**END OF PERMIT CONDITIONS**

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